



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/859,705	05/17/2001	Michael Kai-Yin Au	YOR920000770US1

CONFIRMATION NO. 3974

## FORMALITIES LETTER



\*OC000000006313526\*

Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P.O. Box 802334  
Dallas, TX 75380

Date Mailed: 07/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Johnny Wai-Nang Wong*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

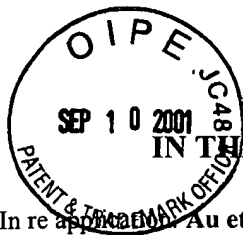
*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 BNGUYEN1 00000115 500510 09859705

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Au et al.

Serial No.: 09/859,705

Filed: May 17, 2001

For: Method and Apparatus for a Distributed  
Web Commerce System

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§  
§

Group Art Unit: 2152

Examiner: Unknown

Attorney Docket No.: YOR920000770US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on September 6, 2001.

By: 

Krista Douthitt

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**


Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

A Notice to File Missing Parts of Nonprovisional Application was received by Applicant stating that the signature of *Johnny Wai-Nang Wong* was missing from the oath or declaration. The signature of *Johnny Wai-Nang Wong* is attached hereto and filed with the Declaration and Power of Attorney.

No fee is believed to be due at this time. If, however, a fee is due at this time, **please charge this fee to Deposit Account No. 50-0510**. No additional fees are believed to be necessary at this time. However, in the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to **Deposit Account No. 50-0510**. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested. Please charge the fee for this extension to Deposit Account No. 50-0510.

Respectfully submitted,



Duke W. Yee

Registration No. 34,285

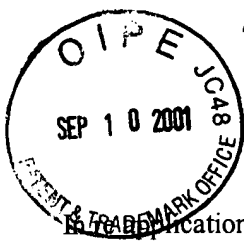
**Carstens, Yee & Cahoon, LLP**

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Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 21  
#3

Application of: **Au et al.**

Serial No.: **09/859,705**

Filed: **May 17, 2001**

For: **Method and Apparatus for a  
Distributed Web Commerce System**

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Group Art Unit: **2152**

Examiner: **Unknown**

Attorney Docket No.: **YOR920000770US1**

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By:

Krista Douthitt

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Response to Notice to File Missing Parts of Nonprovisional Application;
- Copy of Notice to File Missing Parts of Nonprovisional Application;
- Declaration and Power of Attorney with originally missing signature; and
- Our return postcard.

No fees are believed to be required. However, in the event that any fees are required for the prosecution of this application, please charge the necessary fees to Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

**CARSTENS, YEE & CAHOON, LLP**

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ATTORNEY FOR APPLICANT